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ATTORNEY DOCKET NO.  
11321-P012USD14

PATENT  
SERIAL NO. 10/635,976

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Richard E. Smalley et al.

Group Art Unit: 1754

Serial No.: 10/635,976

Filed: August 7, 2003

Title: CARBON FIBERS FORMED FROM  
SINGLE-WALL CARBON NANOTUBES

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CERTIFICATE OF MAILING

I hereby certify that this Supplemental Information Disclosure Statement with SB/08 A-B (Form 1449) and 2 references being deposited with the U.S. Postal Service as first class mail with sufficient postage in an envelope addressed to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on October 25, 2004.

  
SERENA BELLER

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

This Supplemental Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

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**11321-P012USD14**

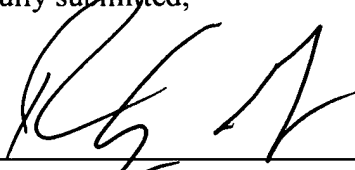
**PATENT**  
**SERIAL NO. 10/635,976**

A copy of each of the items identified on the attached Form PTO-1449 is supplied herewith, except for the pending patent applications, for which no copies are being submitted.

Applicant believes that no fee is due at this time. However, the Assistant Commissioner is hereby authorized to credit any overpayment or for inadvertently omitted fees to Deposit Account No. 23-2426 (11321-P012USD14).

Respectfully submitted,

By: \_\_\_\_\_

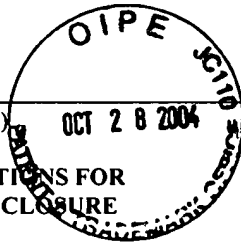
  
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ATTORNEYS FOR APPLICANTS

In Place of FORM PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANTS' INFORMATION DISCLOSURE  
STATEMENT**



Serial No.: 10/635,976  
Applicants: Richard E. Smalley et al.  
Filing Date: August 7, 2003  
Group: 1754  
Atty. Docket No.: 11321-P012USD14

Reference Designation

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
____AAA						
____ABA						
____ACA						

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes No
____ADA						

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

Examiner  
Initial

\_\_\_\_AEA KLANG, Ching-Hwa et al. "Carbon Nanotubes With Single-Layer Walls," *Carbon*, 1995, Vol. 33, No. 7, pp. 903-914.  
\_\_\_\_AFA KLANG, Ching-Hwa et al. "Structural Modification of Single-Layer Carbon Nanotubes with an Electron Beam," *J. Phys. Chem.*, 1996, Vol. 100, pp. 3749-3752.

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.